

# **Computer- aided musical analysis of extended vocal techniques for compositional applications**

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## **Abstract**

This paper describes an ongoing investigation on various extended vocal effects, assisted by personal computer, for the application in contemporary music. Phenomena of co-oscillation between the vocal folds and other structures may cause amplitude modulation on a quasi-periodic glottal signal, thus establishing subharmonics and their integer partials. By means of computer- aided analysis, relevant aspects of several types of phonation may be revealed, complemented by physiological and aerodynamical measurement methods. Such phenomena may include the participation of the false vocal folds (vocal- ventricular mode, VVM, found in Tibetan and Mongolian chants), epiglottis (vocal growl, used in Jazz and Pop music), and segregated mass-spring subsystems within the vocal folds (hypothesised in the case of vocal fry or creaky voice). In addition, cases of biphonation (diplophonia), where the pair of vocal folds oscillates at two or more different frequencies are considered. Computer- aided analysis is shown to be an efficient and inexpensive platform for such study, providing interesting data for voice modelling, music performance and compositional applications.

## **INTRODUCTION**

Human voice is produced by a flow of pulmonary air through the resistive larynx, which sustains oscillations of its elastic components. The glottal source, i.e., the sound obtained by the modulation of the airflow, is then filtered by the vocal tract and modified by several structures that serve as articulators (Fant, 1960). The glottal oscillator, however, is to some extent aperiodic and chaotic (see Davis & Fletcher, 1996).

Since our trained ears are quite sensitive to how the glottal oscillator behaves, those characteristics impose great challenges in the modelling and synthesis of naturalistic vocal sounds, which cannot be effectively accomplished by simplified methods (Chowning, 1980). Several methods of voice synthesis are available, and the most promising ones seem to be based on physical modelling of the whole phonatory apparatus, which still require more knowledge on the glottal, filtering and articulatory mechanisms.

Chaos theory has been found a suitable tool in describing some phenomena associated with complex vocal fold vibration (e.g., Berry et al., 1994; Davis & Fletcher, 1996). Bifurcations and chaos have been identified in the cries of newborns (Herzel & Reuter, 1996) and in asymmetric vocal fold oscillations (Steinecke & Herzel, 1995). Besides what could be called "normal phonation", human voice is highly flexible, varying in form according to culture and context (see Zemp, 1996). This indicates that different vocal practices may reflect different production mechanisms.

## **MATERIALS AND METHODS**

One musically trained subject, the author, produced all vocal examples in this study. For each phonation type the subject practiced for a period long enough to ensure maximal control and repeatability in the tasks. The recordings were done directly on a personal computer provided with a Turtle Beach Pinnacle Plus soundboard. An Ono-Sokki LA-215 sound level meter was used as an input device, with a MI-421 microphone.

All recordings were performed in an acoustically treated room, and the microphone positioned at a distance of 0.3 m from the subject. SoundSwell (Nyvalla DSP AB, Stockholm) and Cool Edit Pro

(Syntrillium Co., Arizona) software packages were used for recording, editing and analysis of the sound signals. Additional measurements in this project included the techniques of high-speed filming (Speedcam+, Weinberger AG, Germany), inverse-filtering (Rothenberg mask, USA), and electro-glottography (Glottal Enterprises type SC-1B). These latter data are not documented in the present paper (see Fuks et al., 1998).

## **EXTENDED VOCAL TECHNIQUES AND VOCAL REGISTERS**

Some traditional and contemporary music make use of special vocal techniques adding new sonorities to the available resources known and employed in western classical music. These may be labelled extended vocal techniques (Barnett, 1977). Since it is a definition by exclusion, a more precise classification system is required in order to describe such techniques.

On the other hand, conventional classification systems for voice production include a redundant and abusive amount of terms, when it refers to registers (Hollien, 1974). The most widely accepted subdivision of registers considers three categories: pulse register (or vocal fry, or creaky voice), modal register (or chest voice, or heavy register), and loft register (or head voice, falsetto, light register). These three registers differ perceptually from each other, or when examined through a spectral analysis.

We collected effects that derive from different forms of phonation therefore they could be described as belonging to different vocal registers. For instance, a vocal tradition in different regions in Central Asia such as Tibet, Tuva, Mongolia and Ladakh have been reported to include very low pitched drones, often complemented by shifting, salient high pitched partials (Smith et al., 1967; Zemp, 1996; Bretèque, 1988; Bloothhooft, 1992). These effects are covered by the examples employed in this study.

### **Vocal-ventricular Mode**

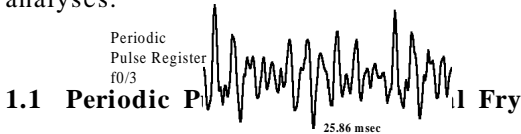
In a previous study, a particular phonation mode, labelled vocal-ventricular mode (VVM), produced by a healthy, musically-trained subject was judged as perceptually identical to that used in the Tibetan chant tradition (Fuks et al., 1998; Fuks et al., 1999). VVM covered a range close to an octave, starting at about 50 Hz. High-speed glottography revealed that the ventricular folds oscillated at

half the frequency of the vocal folds thus yielding a frequency of  $f_0/2$ . Phonation at  $f_0/3$  was also possible. Presumably, aerodynamic forces produced by the glottal flow pulses sustained the vibrations of the ventricular folds.

Figure 1. Amplitude modulation in voice. Audio signals of three types of vocal registers are shown: modal (top), vocal-ventricular (VVM, middle) and periodic pulse (vocal fry, bottom). For VVM, in this case, the wave is modulated causing a period doubling. For pulse register, the wave may have its period multiplied by 2 or 3, in this case by 3.

By use of a personal computer, the audio signals of VVM were analysed and revealed a case of amplitude modulation (AM), creating period multiplication and establishing denser spectra of subharmonics and its partials, as compared to modal phonation, see Figure 1.

A curious and somewhat humorous variant is the voice of the cartoon character Popeye, who had a very low-pitched and harsh voice (down to  $Bb_1$ , 58 Hz, during singing), possibly produced by VVM. A thorough analysis of the kind applied in the present investigation would be needed for an exhaustive description of the phonatory characteristics of these tone production modes. A coherent terminology should be based on the results of such analyses.



### 1.1 Periodic Pulse Register or Vocal Fry

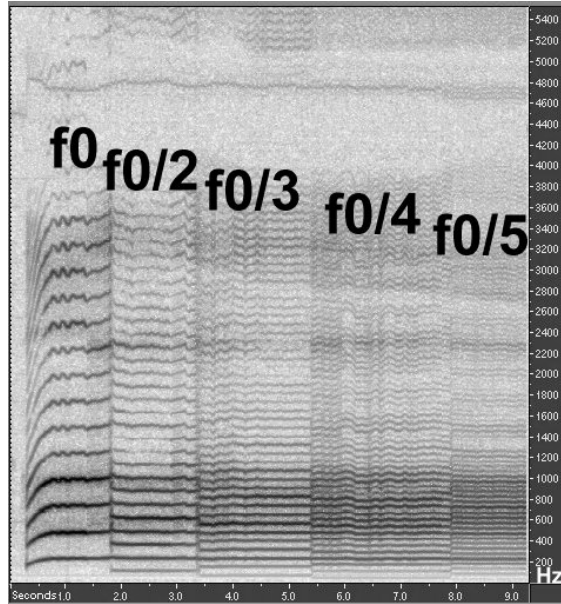
Another case of voice period multiplication, periodic pulse register (PPR) or vocal fry, produced motion patterns differing from those of VVM, as observed by electroglottography. Occurrence of  $f_0/2$  or  $f_0/3$

modes has been already documented (Barnett, 1977; Titze, 1994). This register has been studied and described by several authors (e.g. Hollien, 1974; Titze, 1994; Blomgren & Chen, 1998). In our study, PPR presented a wider range than VVM, typically more than an octave and a fifth, and the possibility of subharmonics varying from modes 1 up to 5, i.e., generating a subharmonic series with five clearly distinctive sounds departing from a stable fundamental frequency. Also, the sound intensity achieved by PPR was considerably lower than in VVM, usually 12dB less in extreme cases. This is probably due to the fact that VVM admits considerably higher subglottal pressures than PPR.

However, accurate measurements of the degree of vocal fold adduction, stiffness of the vocal fold margins and their precise movements are still required for a complete understanding of this mechanism.

Figure 2 shows a case of vocalization in which the sound evolves from a starting pitch of  $G_3$  ( $f_0$ , ca. 250 Hz) to  $G_2$  ( $f_0/2$ , 125 Hz), to  $C_2$  ( $f_0/3$ , ca. 83 Hz), then to  $G_1$  ( $f_0/4$ , 63 Hz) and finally to  $Eb_1$  ( $F_0/5$ , 50 Hz). It can be noted that the frequency 250 Hz, here called the *prime frequency*, keeps stable throughout the whole sound production. This is clearly a subharmonic series, in which integer numbers sequentially multiply the period. This phenomenon has a parallel in chaos theory, where period doubling is a central occurrence. However, it is not quite clear why the multiples 3 and 5 occur. Our current hypothesis for this behavior is that some portions of the vocal folds segregate from the main oscillating parts, defining independent mass-spring subsystems, which are able to co-oscillate at subharmonic modes, see item co-oscillation below. This requires future studies, with combined use of computer-based methods and high-speed imaging tools.

Figure 2. A spectrogram of a periodic pulse register (vocal fry) vocalization in which the sound evolves from a starting pitch of  $G_3$  ( $f_0$ , ca. 250 Hz) to  $G_2$  ( $f_0/2$ , 125 Hz), to  $C_2$  ( $f_0/3$ , ca. 83 Hz), then to  $G_1$  ( $f_0/4$ , 63 Hz) and finally to  $Eb_1$  ( $f_0/5$ , 50 Hz). Note that the first partial of  $f_0$  keeps constant throughout the whole process.



## 1.2 Growl

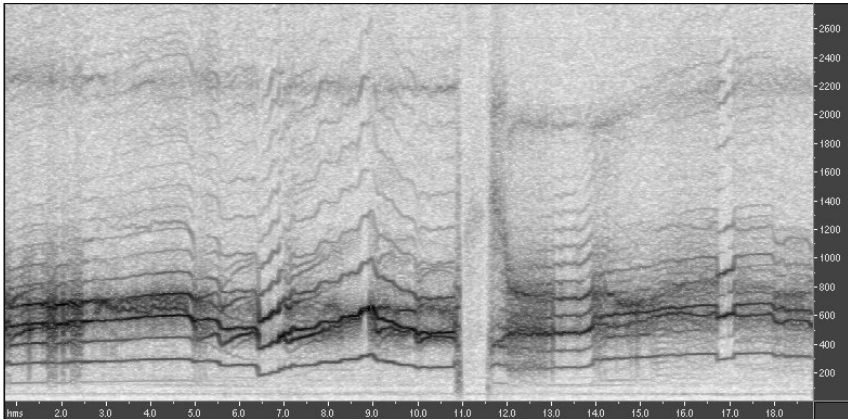
Vocal “growl” is sometimes used by jazz and pop musical singers, e.g., Louis Armstrong. Phonation is usually breathy, complemented by a constriction of pharyngeal/laryngeal structures, as revealed by video-stroboscopy (Thalén M, personal communication). This supraglottal valve mechanism produces a modulation of the airflow, and hence of the sound quality, adding irregular or regular low rate oscillations to the sound. In some cases, the “growl” vibrations may present a phase lock to the vocal folds in the  $f_0/2$  or  $f_0/3$  modes. Spectral and perceptual analysis of some of Armstrong’s recordings did not show similarity with our VVM examples, although some subharmonics were observed.

## 1.3 Biphonia/Diplophonia

The pair of vocal folds may be set into oscillation at different frequencies one from the other, under certain conditions. This is called biphonia or diplophonia, and may occur in pathological cases (Tigges et al. 1997) or by the use of extended techniques. The performer establishes asymmetrical degrees of contraction in the muscles that control vocal tension, helped by some abduction of the glottis, thus enabling non-coupled vibrations in the larynx.

Therefore, a multiphonic glottal source is filtered by the same vocal tract, with some breathy noise due to the partial adduction of the glottis. This can be observed at the spectrum, see Figure 3.

Biphonic-like sounds can be convincingly synthesised by the adding of two vocal sounds and some white noise, passing the resulting signal through formant filters simulating the vocal tract. In this case, Figure 3, there seem to be two main formants at 650 Hz and



2200 Hz.

Figure 3. Spectrogram of biphonic sounds. Note that there are two families of harmonically related sounds, presumably produced by asymmetrical and independent oscillations of the vocal folds.

#### 1.4 Overtone singing

All cases of phonation above, VVM, PPR, growl and even biphonia may be skillfully controlled by a singer, by means of changes in the filtering properties of the vocal tract. If the singer manages to establish resonating cavities with a high Q-value (resonance peak), it may originate the effect of segregation of vocal harmonics and formants, and being perceived as overtone singing, a well-described technique employed by several eastern cultures. The same or similar effects have been referred to by other terms, including diphonic singing (Smith et al., 1967), “diplophony” (Dmitriev, 1983), “throat-singing”, harmonic singing, etc. Figure 4 shows a spectrogram of

overtone singing of the melody "Oh Susannah" performed on a fixed VVM drone at approximately 53 Hz.

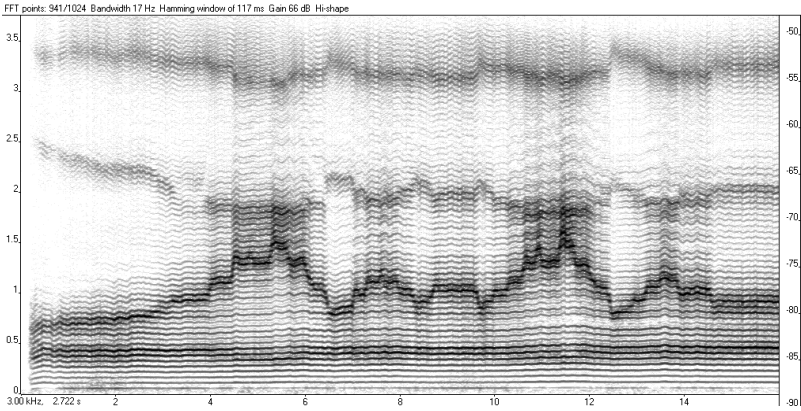


Figure 4. Overtone singing (“Oh, Susanah” melody) spectrogram from a **VVM** utterance, at a fixed fundamental frequency, approx. 53 Hz (From Fuks, 1998).

## 2 DISCUSSION

### 2.1 Subharmonics, Co-Oscillation and Period Multiplication

The existence of subharmonic partials, a rather enigmatic concept in music perception and theory, can be shown and explained in a straightforward way in the examples here presented.

Together with the normal vibrations of the vocal folds, other structures may initiate and maintain coupled oscillations with them. In order to keep steady self-sustained vibrations, it is highly preferable that the co-oscillating system has harmonically-related natural frequencies. For instance, if the vocal folds vibrate at  $f_0$ , they will be able to excite coupled systems at natural frequencies of

$$f_0/n, f_0/n-1, \dots, f_0, f_0.2, f_0.3, f_0.(n-1), f_0.(n)$$

The coupling between the vocal folds and the additional systems may be mechanical (in PPR) or aerodynamic (in VVM and growl), due to the fact that in voice production there are important driving



forces due to tissue vibration and airflow modulation. Figure 1 showed the audio signals of three different types of phonation modes: modal, vocal-ventricular and periodic pulse register (or vocal fry).

The presence of harmonically-related co-oscillation in the airways produces a modulation inside the modulation. Since the amplitude of the pressure pulse of the airflow is affected, this is a case of amplitude modulation. In amplitude modulation (AM), there is a carrier frequency and a modulating frequency. In our case, the carriers are all the partials of the vocal sounds and the modulating frequency is the frequency of the co-oscillating structure, always in a harmonic relationship with  $f_0$ . This creates a rich spectrum with every partial **plus and minus** the modulating frequency. Our spectral representation corroborates the model of AM and also matches well with the observations by other methods (electroglottography, inverse filtering and high-speed imaging, in Fuks, 1998).

Not only AM has been observed, but also minute variations in the duration of the cycles, called *jitter*, that if regularly present is a form of frequency modulation (FM). We plan to study these phenomena in the future.

### 3 CONCLUSIONS

Computer-aided tools for sound analysis were shown to be efficient and inexpensive for our purposes, providing insights on the nature of the vocal vibrations during extended techniques.

For synthesis applications, this study may provide directions for the building of computer algorithms.

The occurrence of period multiplication, amplitude modulation and subharmonics has been demonstrated and clarified through the computer-aided methods.

A physical explanation could be offered for the period multiplication phenomena, rather than by arguments derived from chaos theory.

Music performance may benefit from computer-aided methods for training and identification of singing techniques.

## 4 ACKNOWLEDGEMENTS

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